

Categorification of quantum sl_2 : Part II

Recall from last week:

- \dot{U} = idempotent part of $U_q(sl_2)$ = associative non-unital algebra over $\mathbb{Q}(q)$ with generators $1_m, 1_{m+2}E1_m, 1_{m-2}F1_m$ ($m \in \mathbb{Z}$)
and relations:
 $E_{2m} = 1_{m+2}E \quad F_{2m} = 1_{m-2}F$

$$\rightarrow \cdot 1_m 1_m = \delta_{m,m} 1_m$$

$$\rightarrow 1_m E 1_m = 0$$

$$\rightarrow \cdot [E, F] 1_m = [n] 1_m = \frac{q^n - q^{-n}}{q - q^{-1}} \cdot 1_m \quad \text{if } m \neq m+2$$

$$\hookrightarrow = (q^{n-1} + q^{n-3} + \dots + q^{-n+1}) 1_m$$

- 2-category \mathcal{U} with:
- objects: $m \in \mathbb{Z}$

- 1-Morphisms (n, m) :

$$\rightarrow 1_m \underset{\mathcal{U}}{\underset{\sim}{\cup}} 1_n \{t_i\} \oplus \dots \oplus 1_m \underset{\mathcal{U}}{\underset{\sim}{\cup}} 1_n \{t_N\}$$

\hookrightarrow generating 1-morphisms: $1_m, E, F$

$\hookrightarrow t_1, \dots, t_N \in \mathbb{Z}$

$\hookrightarrow \varepsilon = (\varepsilon_1, \dots, \varepsilon_m), \varepsilon_i \in \{+, -\}, \varepsilon_{(e)} = \varepsilon_{e_1} \dots \varepsilon_{e_m},$

$$\varepsilon_+ = \varepsilon, \varepsilon_- = \mathcal{F}, m = n + 2 \sum_{i=1}^m \varepsilon_i \cdot 1$$

- 2-Morphisms

$$\hookrightarrow K_0(1\text{-Morph}_{\mathcal{U}}(n, m)) = \underbrace{1_m \underset{\mathcal{U}}{\underset{\sim}{\cup}} 1_m}_{\mathbb{Z}[q, q^{-1}] \text{-module}}$$

$$\hookrightarrow [f\{t\}] = q^t [f]$$

$\hookrightarrow \mathcal{U}$ = additive 2-category

\hookrightarrow Composition of 1-morphisms in $\mathcal{U} \Leftrightarrow$ multiplication in \dot{U}

$\mathbb{Z}[q, q^{-1}]$ -semilinear form on \dot{U}

$$\bullet \langle E^a 1_n, E^a 1_n \rangle = \langle F^a 1_n, F^a 1_n \rangle = \frac{([a]!)^2}{\prod_{j=1}^a 1 - q^{2j}}$$

$$\bullet \langle ux, y \rangle = \langle x, \tau(u)y \rangle, u, x, y \in \dot{U}$$

$$\rightarrow \text{with } \tau(E 1_n) = q^{-n+1} 1_n F, \tau(F 1_n) = q^{n+1} 1_n E$$

$$\text{Write } \mathcal{U}(f, g) = \text{2Morph}_{\mathcal{U}}(f, g), \mathcal{HOM}_{\mathcal{U}}(f, g) = \bigoplus_{t \in \mathbb{Z}} \mathcal{U}(f\{t\}, g) \quad \text{if } f, g \in \text{Morph}(m, n)$$

$$\langle [f], [g] \rangle = \text{gdim}_{\mathcal{U}}(\mathcal{HOM}_{\mathcal{U}}(f, g)) = \sum_{t \in \mathbb{Z}} q^t \text{dim}(\mathcal{U}(f\{t\}, g)). \Leftarrow$$

Find the vector space $\mathcal{U}(\mathcal{E}_{(\varepsilon)} 1_n \{t\}, \mathcal{E}_{(\varepsilon')} 1_n \{t'\})$, $\forall \varepsilon, \varepsilon' \in \Lambda, t, t'$

$$\text{W.l.o.g.: } t' = 0 \\ \text{gdim}_n (\text{Hom}(\mathcal{E}_{(\varepsilon)} 1_n \{t\}, \mathcal{E}_{(\varepsilon')} 1_n \{t'\}))$$

$$= \text{gdim}_n (\text{Hom}(\mathcal{E}_{(\varepsilon)} 1_n \{t-t'\}, \mathcal{E}_{(\varepsilon)} 1_n))$$

$$\text{Ansatz}_2: \langle \mathcal{E}_{(\varepsilon)} 1_n, \mathcal{E}_{(\varepsilon')} 1_n \rangle = \text{gdim}_n (\text{Hom}(\mathcal{E}_{(\varepsilon)} 1_n, \mathcal{E}_{(\varepsilon')} 1_n)) \\ = \sum_{t \in \mathbb{Z}} q^t \dim(\mathcal{U}(\mathcal{E}_{(\varepsilon)} 1_n \{t\}, \mathcal{E}_{(\varepsilon)} 1_n))$$

$$1. \text{ Hom}_n(\mathcal{E} 1_n, \mathcal{E} 1_n), (\varepsilon) = (\varepsilon') = (+)$$

$$\sum_{t \in \mathbb{Z}} q^t \dim(\mathcal{U}(\mathcal{E} 1_n \{t\}, \mathcal{E} 1_n)) = \langle \mathcal{E} 1_n, \mathcal{E} 1_n \rangle = \frac{1}{1-q^2} = 1 + q^2 + q^4 + q^6 + \dots$$

$$\Rightarrow \forall t \in \mathbb{Z}: \dim(\mathcal{U}(\mathcal{E} 1_n \{t\}, \mathcal{E} 1_n)) = \begin{cases} 0 & \text{if } t < 0 \text{ or } t \text{ is odd} \\ 1 & \text{if } t \in 2\mathbb{N} \end{cases}$$

$$t=0: \mathcal{U}(\mathcal{E} 1_n, \mathcal{E} 1_n) = \mathbb{R}\text{-linear span of } \underbrace{1_{\mathcal{E} 1_n}}_{\substack{\hookrightarrow n+2 \\ \downarrow m}}$$

$$t=2: 2\text{-morph } \mathcal{E} 1_n \{2\} \rightarrow \mathcal{E} 1_n \xrightarrow{n+2 \uparrow m}$$

$$\deg(n+2 \uparrow m) = 2$$

$$t=4: \mathcal{E} 1_n \{4\} \rightarrow \mathcal{E} 1_n : \text{Vertical composition} \quad \xrightarrow{n+2 \uparrow m} \quad \begin{array}{c} \mathcal{E} 1_n \\ \uparrow m \\ \mathcal{E} 1_n \{2\} \end{array} \quad , \quad \begin{array}{c} \mathcal{E} 1_n \{2\} \\ \uparrow m \\ \mathcal{E} 1_n \{4\} \end{array}$$

$$\deg(n+2 \uparrow m) = 4$$

$$n+2 \uparrow m = \sum_k k \deg$$

$$\Rightarrow \mathcal{U}(\mathcal{E} 1_n \{2k\}, \mathcal{E} 1_n) = k\text{-lin-span of } \begin{array}{c} n+2 \uparrow m \\ \uparrow k \end{array}$$

$$k \in \mathbb{N} \setminus \{0\}$$

$$\text{Similarly for } \mathcal{F} 1_n, \mathcal{F} 1_n: \quad \mathcal{U}(\mathcal{F} 1_n, \mathcal{F} 1_n) = \langle \begin{array}{c} n-2 \uparrow m \\ \uparrow 1 \end{array} \rangle$$

$$\mathcal{U}(\mathcal{F} 1_n \{2k\}, \mathcal{F} 1_n) = \langle \begin{array}{c} n-2 \uparrow m \\ \uparrow k \end{array} \rangle$$

$$2. \underset{\text{in}}{\text{HOM}}(\mathcal{E}\mathcal{E}1_n, \mathcal{E}\mathcal{E}1_n) \quad (\varepsilon) = (\varepsilon') = (+, +)$$

We already know: $\begin{matrix} n+1 \\ \downarrow \\ R_2 \end{matrix}$ $\begin{matrix} n+2 \\ \downarrow \\ R_1 \end{matrix}$ $\in \text{LMod}_{\mathcal{R}} \mathcal{E}\mathcal{E}_1 \xrightarrow{\{2R_1 + 2R_2\}} \mathcal{E}\mathcal{E}_2$

$$\sum_{k_1, k_2=0}^{+\infty} q^{2(k_1+k_2)} = \frac{1}{(1-q^2)^2}, \text{ BUT } \langle E^2 1_n, E^2 1_n \rangle = \frac{([q]!)^2}{(1-q^2)(1-q^4)} -$$

$$= (1+q^{-2})(1+q^2+q^4+q^6+\dots)$$

$$= 3 + q^2(\dots)$$

\Rightarrow we need 2-morph of $\text{d}g_0 = -2$ 

$$\text{L} \rightarrow = 0.$$

$$\text{Generating set} = \left\{ \begin{array}{c} \uparrow k_2 \\ t_{k_2} \end{array} \middle| \begin{array}{l} k_1, k_2 \in \mathbb{N} \\ \text{and} \\ \begin{array}{c} \uparrow k_n \\ t_{k_n} \end{array} \end{array} \right\} \cup \left\{ \begin{array}{c} \uparrow k_n \\ t_{k_n} \end{array} \middle| \begin{array}{l} k_1, \dots, k_n \in \mathbb{N} \\ \text{and} \\ \begin{array}{c} \uparrow k_n \\ t_{k_n} \end{array} \text{ is connected to } \begin{array}{c} \uparrow k_m \\ t_{k_m} \end{array} \end{array} \right\}$$

Not a basis

Not a basis

$\text{deg} = 0$

$\text{deg} = -2 + \text{deg} = 2$

not all lin. indep.

Similarly : $\sum m$

3. $\text{Hom}_{\mathcal{I}_m}(\mathcal{F}\mathcal{E}_{1_m}, 1_n)$

$$\langle F \mathbf{e}_{1_m}, \mathbf{e}_{1_m} \rangle = \left\langle E \mathbf{e}_{1_m}, \underbrace{\tau(F \mathbf{e}_{1_m})}_{=1} \mathbf{e}_{1_m} \right\rangle = q^{m+1} (1 + q^2 + q^4 + \dots)$$

$$\Rightarrow \mathcal{U}(\mathcal{F}\mathcal{E}_{1,n}(t), 1_n) = \begin{cases} q^{n+1} E & \text{if } t \in n+1 + 2\mathbb{N} \\ \{0\} & \text{otherwise} \end{cases}$$

$$t = n+1: \quad \text{---} \curvearrowleft \curvearrowright \quad m$$

$$t = n+3: \quad \text{Diagram} \quad n = \text{Diagram} \quad 1\text{-dim.}$$

$$\hookrightarrow \text{in}(\mathcal{F}\mathcal{E}1_m\{n+1+2k\}) = \langle \text{f} \xrightarrow{k} \rangle$$

$$HOM_{\mathcal{I}}(\mathbb{E}^{\mathbb{F}1_n}, 1_n) : \quad \nearrow \curvearrowright \quad deg = -n+1 \quad \leftarrow$$

$$\text{Hom}^n(1_n, \mathcal{F}E1_n) : \quad \downarrow d^n \quad \text{deg} = n+1$$

$$HOM(1_m, \bar{S}^r S_n) : \quad t \curvearrowleft n \quad dy = -n + r$$

$$\deg = \deg(\text{arc } m+2) + \deg(\text{arc } n) \\ = -(m+2) + 1 + m + 1 = 0$$

$$\begin{aligned} & \left[\varepsilon_{1_n} \rightarrow \varepsilon_{1_n} \right] \rightarrow \left\langle \begin{smallmatrix} n+1 \\ n \end{smallmatrix} \right\rangle \\ \Rightarrow & \text{Diagram} = \downarrow, \quad \text{Diagram} = \uparrow, \quad \text{Diagram} = \downarrow, \quad \text{Diagram} = \uparrow \end{aligned}$$

\Rightarrow Biadjointness arises naturally!

4. $\text{Hom}(\mathcal{EF}_1, \mathcal{F}\mathcal{E}_1)$

$$\begin{aligned} \langle EF_{2m}, FE_{2m} \rangle &= \dots = (1+q^2)(1+q^2+q^4+p^6+\dots)^2 \\ &= 1 + 3q^2 + 5q^4 + \dots \end{aligned}$$

• $t=0$
 We already know  $=$  $= x^\alpha$

$$\epsilon_1 \rightarrow \epsilon_1^\alpha$$

Similarly: $\mathcal{F}\varepsilon_{1_n} \rightarrow \varepsilon\mathcal{F}_{1_m}$: 

5. $\underset{\text{in}}{\text{HOM}}(\mathcal{EF}_{2n}, \mathcal{EF}_{1n})$:

$$\begin{array}{c} \text{Diagram showing } k_1 \text{ and } k_2 \text{ as vectors from a point } x \text{ to points } l_1 \text{ and } l_2 \text{ respectively. The angle between them is labeled } u. \\ \text{Equation: } x \cdot k_1 + x \cdot k_2 = 2(-n+1) + 2(l_1 + l_2) \end{array}$$

$$\Rightarrow \sum_{k_1, k_2=0}^{+\infty} q^{2(k_1+k_2)} + \sum_{l_1, l_2=0}^{+\infty} q^{2(-l_1+l_2)+2(l_1+l_2)} = \frac{1+q^{-2n+2}}{(1-q^2)^2} = \langle EF_{2n}, EF_{2n} \rangle$$

↳ Relations:

$$\text{Diagram showing } \ell_1, \ell_2 = 0 \text{ and } \omega_{F1} \rightarrow \omega_{F1}$$

Theorem: Every $\mathcal{U}(\mathcal{E}_\ell, \mathbf{1}_{\mathfrak{m}^{\{t\}}}, \mathcal{E}_{\ell'}, \mathbf{1}_\alpha)$ can be built from the diagrams we've seen

Proof:

- (Lambe 2008) Indecomposable 2-morphisms = Lusztig canonical basis
clts up to grading shift
- (Khovanov - Lambe 2010): Diagrammatic interp. of $\langle \cdot, \cdot \rangle$: only have generating 2-morphisms

Challenges:

- Which relations between 2-morphisms?

- How to lift the $\langle \cdot, \cdot \rangle$ -relations to nat. isomorphisms?

Finding relations between 2-morphisms:

Action of \mathcal{U} on cohomology rings of partial flag varieties

\hookrightarrow $\uparrow \downarrow$ \rightsquigarrow multiplication with ξ_i , $\uparrow \downarrow$ \rightsquigarrow divided difference operator γ_i

$\text{NHa} = \text{NullHecke algebra} = \langle \xi_i, \gamma_j : i = 1, \dots, \ell, j = 1, \dots, \ell-1 \rangle$

$$(1) [\xi_i, \xi_j] = 0$$

$$(2) [\gamma_i, \xi_j] = [\gamma_i, \gamma_j] = 0 \quad \text{if } |i-j| > 1$$

$$(3) \gamma_i^2 = 0$$

$$(4) \gamma_i \gamma_{i+1} \gamma_i = \gamma_{i+1} \gamma_i \gamma_{i+1}$$

$$(5) \xi_i \gamma_i - \gamma_i \xi_{i+1} = \gamma_i \xi_i - \xi_{i+1} \gamma_i = 1$$

$$(1) \cdots \uparrow \cdots \downarrow \cdots = \cdots \uparrow \cdots \downarrow \cdots$$

$$(2) \cdots \uparrow \cdots \uparrow \cdots = \cdots \uparrow \cdots \uparrow \cdots$$

$$(3) \uparrow \downarrow = 0$$

$$(4) \uparrow \downarrow \uparrow \downarrow = \uparrow \downarrow \uparrow \downarrow$$

$$(5) \uparrow \downarrow - \uparrow \downarrow = \uparrow \downarrow - \uparrow \downarrow = \uparrow \uparrow$$

\Downarrow rephrasing

$$h \uparrow \downarrow - \uparrow \downarrow h = h \uparrow \downarrow - \uparrow \downarrow a = \sum_{\substack{l_1, l_2 \in \mathbb{N} \\ l_1 + l_2 = h-1}} \uparrow \uparrow \uparrow \downarrow \downarrow \downarrow l_2.$$

Bubbles:

Bubbles:

$$\text{deg} = 2(-m+1) + 2k$$

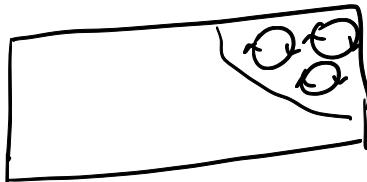
$$\text{BUT } \langle z_m, z_n \rangle = 1 = \sum_{t \in \mathbb{Z}} q^t \sin(\pi(z_m - t), z_n)$$

\Rightarrow Suggests that bubbles of $\delta y \neq 0$ should = 0. ↴

BUT categorical action: action \neq

Prop.: Any diagram can be reduced to lin. comb. of diagrams where all bubbles:

- non-nested
 - same orientation
 - far right corner



\Rightarrow Graded dimensions can be renormalized to account for contribution of bubbles.

$$\hookrightarrow \langle E_{(\varepsilon)} 1_n, E_{(\varepsilon')} 1_n \rangle = \frac{\text{gdim}_{\mathbb{M}} (\text{HOM}_{\mathbb{M}} (E_{(\varepsilon)} 1_n, E_{(\varepsilon')} 1_n))}{\text{gdim}_{\mathbb{M}} (\text{HOM}_{\mathbb{M}} (1_n, 1_n))}$$

$$\text{and } \begin{array}{c} \text{Diagram of a circle with a clockwise arrow and a dot at } n-1+k \\ \text{Diagram of a circle with a clockwise arrow and a dot at } -n-1+k \end{array} = \begin{cases} 0 & \text{if } k \neq 0 \\ \text{multiple of } 1_{1_m} & \text{if } k=0. \end{cases}$$

Given λ partition = $(\lambda_1, \lambda_2, \dots, \lambda_m)$

$$\text{Def.: } e_{\lambda, n} := \begin{cases} \dots & \text{if } n > 0 \wedge \lambda \neq \emptyset \\ \begin{array}{c} \text{Diagram of } n-1+\lambda_1 \text{ (a circle with one arrow)} \\ \dots \\ \text{Diagram of } n-1+\lambda_m \text{ (a circle with } m \text{ arrows)} \end{array} & \text{if } n > 0 \wedge \lambda \neq \emptyset \\ \dots & \text{if } n < 0 \wedge \lambda \neq \emptyset \\ \begin{array}{c} \text{Diagram of } -n-1+\lambda_1 \text{ (a circle with one arrow)} \\ \dots \\ \text{Diagram of } -n-1+\lambda_m \text{ (a circle with } m \text{ arrows)} \end{array} & \text{if } n < 0 \wedge \lambda \neq \emptyset \\ n \mid n & \text{if } n = 0 \wedge \lambda = \emptyset \end{cases}$$

Lifting the \cup -relations to isomorphisms

$$\text{goal: } \Sigma F 1_m \cong \sum \Sigma 1_n \oplus 1_{m\{-n\}} \oplus 1_{m\{-n-1\}} \oplus \dots \oplus 1_{m\{-n+1\}}$$

if $m \geq 0$

$$F \Sigma 1_n \cong \Sigma F 1_n \oplus 1_n\{-n-1\} \oplus 1_n\{-n-2\} \oplus \dots \oplus 1_n\{-n+1\}$$

if $n < 0$

Assume $m \geq 0$. We already know 2-morphism $F \Sigma 1_n \oplus 1_n\{-n-1\} \oplus \dots$

$$\begin{aligned} \Sigma_+ := & \sum \chi_n \oplus \begin{matrix} \curvearrowleft \\ \curvearrowright \end{matrix}_{-n-1} \oplus \begin{matrix} \curvearrowleft \\ \curvearrowright \end{matrix}_{-n-2} \oplus \dots \oplus \begin{matrix} \curvearrowleft \\ \curvearrowright \end{matrix}_{-n+1} \oplus \begin{matrix} \curvearrowleft \\ \curvearrowright \end{matrix}_n \rightarrow \Sigma F 2_n \\ & \downarrow \text{deg} = 2(n-1) + (-n+1) \\ & \stackrel{n-1}{=} \\ & 1_n\{-n-1\} \rightarrow \Sigma F 1_n \end{aligned}$$

If an inverse exists, then it must be:

$$\begin{aligned} \overline{\Sigma_+} := & \beta_n \sum \chi_n \oplus \bigoplus_{l=0}^{n-1} \bigoplus_{\lambda \text{ partition}} \bigoplus_{j \in N} \alpha_\lambda^l(n) e_{\lambda, n} \xrightarrow{j} \\ & \text{for certain } \beta_n, \quad |\lambda| + j = l \\ & \alpha_\lambda^l(n) \text{ scalars} \end{aligned}$$

Similarly for $n < 0$:

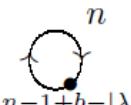
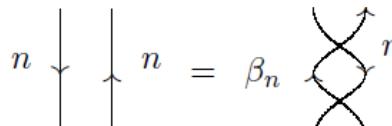
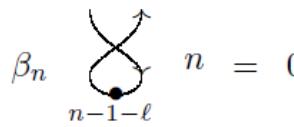
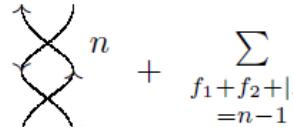
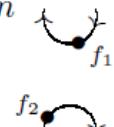
$$\begin{aligned} \Sigma_- := & \sum \chi_n \oplus \begin{matrix} \curvearrowright \\ \curvearrowleft \end{matrix}_{-n-1} \oplus \dots \oplus \begin{matrix} \curvearrowright \\ \curvearrowleft \end{matrix}_n \\ \overline{\Sigma_-} = & \beta_n \sum \chi_n \oplus \bigoplus_{l=0}^{-n-1} \bigoplus_{\lambda \text{ partition}} \bigoplus_{j \in N} \alpha_\lambda^l(n) e_{\lambda, n} \xrightarrow{j} \end{aligned}$$

$|\lambda| + j = l$

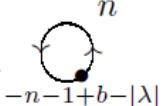
Theorem: $\overline{\Sigma_+}$ and $\overline{\Sigma_-}$ are inverse of Σ_+ , Σ_- resp., and hence

Σ_+ , Σ_- are sought isomorphisms

\Leftrightarrow the 2-morphisms satisfy the relations of Tables A, B.

Relations for $n \geq 0$	
(A1)	$\delta_{b,0} = \sum_{\lambda: \lambda \leq b} \alpha_\lambda^\ell(n) e_{\lambda,n}$ 
(A2)	$n \downarrow \quad \downarrow n = \beta_n$ 
(A3)	β_n 
(A4)	$\sum_\lambda \alpha_\lambda^\ell(n) e_{\lambda,n}$ 
(A5)	$n \downarrow \quad \downarrow n = \beta_n$  + $\sum_{\substack{f_1+f_2+ \lambda =n-1}} \alpha_\lambda^{ \lambda +f_2}(n) e_{\lambda,n}$ 

Note that relations A1, A3, and A4 are only valid for $n > 0$.

Relations for $n \geq 0$	
(B1)	$\delta_{b,0} = \sum_{\lambda: \lambda \leq b} \alpha_\lambda^\ell(n) e_{\lambda,n}$ 
(B2)	$n \downarrow \quad \downarrow n = \beta_n \quad \text{Diagram: two vertical strands with a crossing, top strand has an arrow pointing up to n, bottom strand has an arrow pointing down from n}$
(B3)	$\beta_n \quad \text{Diagram: a loop with a dot at -n-1-\ell and an arrow pointing up to n} \quad n = 0$
(B4)	$\sum_{\lambda} \alpha_\lambda^\ell(n) e_{\lambda,n} \quad \text{Diagram: a loop with a dot at -n-1-\ell and an arrow pointing up to n} \quad n = 0$
(B5)	$n \downarrow \quad \downarrow n = \beta_n \quad \text{Diagram: two vertical strands with a crossing, top strand has an arrow pointing up to n, bottom strand has an arrow pointing down from n} + \sum_{g_1+g_2+ \lambda =n-1} \alpha_\lambda^{ \lambda +g_2}(n) e_{\lambda,n} \quad \text{Diagram: a loop with a dot at g_1 and an arrow pointing up to n, another loop with a dot at g_2 and an arrow pointing down from n}$

Note that relations B1, B3, and B4 are only valid for $n < 0$.

$\mathcal{U}_X \leq \mathcal{U}$